



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: G. Allan Whittaker et al.

SERIAL NO.: 09/924,037

GROUP ART UNIT: 2633

FILED: August 7, 2001

EXAMINER: (not assigned)

FOR: PHYSICAL LAYER TRANSPARENT TRANSPORT
INFORMATION ENCAPSULATION METHODS AND
SYSTEMS

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Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney Docket No.: 50777/250037

Date: November 26, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

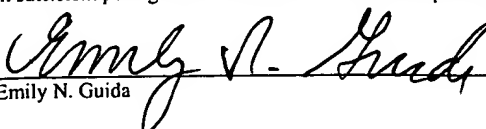
Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the publications listed on the modified Form PTO-1449 are enclosed with this submission for consideration by the Examiner.

Submission of the references provided in this Information Disclosure is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.


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Emily N. Guida

This Information Disclosure Statement is being filed before the issuance of a first office action on the merits of the application (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be due. If a fee is due, the Commissioner is authorized to charge such fee and any additional fees that may be due or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,


Geoff L. Sutcliffe
Reg. No. 36,348

Date: November 26, 2002

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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO.: 50777/250037		SERIAL NO.: 09/924,037	
MATERIAL INFORMATION STATEMENT (Use several sheets if necessary)				APPLICANT: Whittaker, et al.			
				FILING DATE: August 7, 2001		GROUP: 2633	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	3,887,876	06/03/75	Zeidler				
	3,943,358	03/09/76	Reymond et al.				
	4,435,849	03/06/84	Ilgnier et al.				
	4,731,784	03/15/88	Keller et al.				
	4,829,593	05/09/89	Hara				
	4,947,134	08/07/90	Olsson				
	4,958,354	09/18/90	Urakami et al.				
	5,080,505	01/14/92	Epworth				
	5,117,196	05/26/92	Epworth et al.				
	5,296,957	03/22/94	Takahashi et al.				
	5,502,589	03/26/96	Yamamoto et al.				
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	GB 2 255 683 A	11/11/92	Great Britain				
	DE 30 07 958	09/17/81	German*			X	
	GB 2 073 877 A	10/21/81	Great Britain				
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER:				DATE CONSIDERED:			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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*For English version see related Great Britain Application No. 2 073 877 A

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/924,037

Filing Date

August 7, 2001

First Named Inventor

Whittaker, et al.

Group Art Unit

2633

Examiner Name

Attorney Docket Number

50777/250037

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Supplemental Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

1. Form PTO 1449 (1sheet)
2. 14 publications
3. Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Geoff L. Sutcliffe, Reg. No. 36,348

Signature

Geoff L. Sutcliffe

Date

November 26, 2002



30732

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